



05-02-05

JFW

April 29, 2005

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Applicant(s): Vargas, Jaime; et. al.
Assignee: Cardica, Inc.
Title: Tissue Punch
Serial No.: 10/698,569
Examiner: Not yet assigned
Docket No.: 147
Filed: October 31, 2003
Group Art Unit: 3731

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) Form PTO-1449 and 2 references; and
- (4) Return postcard.

Please charge Deposit Account 502108 for any additional fees required, and credit deposit account 502108 any amounts overpaid.

EXPRESS MAIL SERIAL NO.:
EV430389846US

Respectfully submitted,

Brian A. Schar, Esq.
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Vargas, Jaime; et. al.

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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

Brian A. Schar
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Attorney for Cardica, Inc.
900 Saginaw Drive
Redwood City, CA 94063

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	10/698,569
Filing Date	October 31, 2003
First Named Inventor	Vargas, Jaime
Group Art Unit	3731
Examiner Name	Unknown

Sheet 1 of 1

Attorney Docket No: 147

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	US-2002/0082614	06/27/2002	Logan, et al.	
	US-2002/0082614	02/27/2004	Logan, et al.	
	US-2003/0065342	04/03/2003	Nobis	
	US-4,018,228	04/19/1977	Goosen	
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	US-5,199,983	06/09/1992	Green, et al.	
	US-5,221,259	06/22/1993	Weldon, et al.	
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	US-5,403,338	04/04/1995	Milo	
	US-5,470,320	11/28/1995	Tiefenbrun, et al.	
	US-5,871,495	02/16/1999	Mueller	
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	US-5,979,920	08/25/1998	Kim	
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	US-6,254,651	06/07/1966	Collito	
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	US-6,309,416	10/30/2001	Swanson, et al.	
	US-6,391,036	05/21/2002	Berg, et al.	
	US-6,461,320	10/08/2002	Yencho, et al.	
	US-6,485,496	11/26/2002	Suyker, et al.	
	US-6,524,322	02/25/2003	Berrekouw	
	US-6,605,098	08/12/2003	Nobis, et al.	
	US-6,695,859	02/24/2004	Golden, et al.	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Cardica PAS-Port Proximal Anastomosis System 510(k)", Section VI.C., "Substantial Equivalence", and Attachment 7 (Unpublished)	
		Sales training brochure entitled "CorLink Automated Anastomosis Device" (2002)	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached